

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of:

Nguyen, et al.

Serial No.: 09/912,103

Confirmation No.: 4476

Filed:

July 23, 2001

For:

Selective Etching of

Organosilicate Films Over Silicon Oxide Etch Stop

Layers

MAIL STOP AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Gr

Group Art Unit: 2823

Examiner:

Khiem D. Nguyen

CERTIFICATE OF MAILING

37 CFR 1.8

I hereby certify that this correspondence is being deposited on ///30 \_\_\_, 2005 with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

11/30/05

Signature

#### **DECLARATION UNDER 35 C.F.R § 1.131**

- I, Li-Qun Xia, in support of conception and reduction to practice of claimed subject matter prior to filing of the present application on July 23, 2001, hereby declare:
- 1. I was a co-inventor with Huong Thanh Nguyen, Michael Scott Barnes, and Mehul Naik of the subject matter described and claimed in the present application filed on July 23, 2001 and am familiar with the disclosures and pending claims;
- 2. That we jointly conceived of the subject matter of all independent claims pending in this application prior to February 2, 2001, the filing date of *Gabriel et al.*;
- 3. That our conception of the claimed subject matter of the pending independent claims prior to February 2, 2001, is evidenced by Exhibit A, which is an invention alert disclosing the etching of organosilicate dielectric material with chemistry containing significant levels of hydrogen containing fluorocarbon gases;

- 4. That the invention alert shown in Exhibit A was prepared pric February 2, 2001 and all dates masked as "DATE" in Exhibit A are prior to February 2001;
- 5. That we diligently pursued the subject matter of the pending ims from a time beginning before February 2, 2001, until filing of the present application in July 23, 2001:
- 6. That we submitted the invention alert shown in Exhibit A to in-house legal department on a date prior to February 2, 2001;
- 7. That our in-house legal department submitted the invention a shown in Exhibit A to an outside legal firm for prosecution of the above identified ap ation prior to February 2, 2001;
- 8. That subsequent reduction to practice of the invention occur of at latest on July 23, 2001 with the filing of the above identified application.

I further declare that all statements made herein of my own knowle pairs true and that all statements made on information and belief are believed to true; and further, that these statements were made with the knowledge that statements and the like so made are punishable by fine or imprisonment, country of the United States Code and that such willful fals statements may jeoparalize the validity of the application or any patent issuing thereon.

Date: 11/13/2005

Li-Qun Xia

- 4. That the invention alert shown in Exhibit A was prepared prior to February 2, 2001 and all dates masked as "DATE" in Exhibit A are prior to February 2, 2001;
- 5. That we diligently pursued the subject matter of the pending claims from a time beginning before February 2, 2001, until filing of the present application on July 23, 2001;
- 6. That we submitted the invention alert shown in Exhibit A to our in-house legal department on a date prior to February 2, 2001;
- 7. That our in-house legal department submitted the invention alert shown in Exhibit A to an outside legal firm for prosecution of the above identified application prior to February 2, 2001;
- 8. That subsequent reduction to practice of the invention occurred at latest on July 23, 2001 with the filing of the above identified application.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Date:		
	Li-Qun Xia	-

# INVENTION ALERT FORM

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TO:

Gaile Bailey Extension:

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MISK

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**Current Date:** 

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# CIRCLE ONLY ONE FROM EACH TOP SECTION:

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PLEASE SUBMIT **ONE** ORIGINAL, SIGNED DOCUMENT FOR RECORDING. IF THIS IS A COPY OF A PREVIOUSLY SUBMITTED ALERT, PLEASE MARK IT ACCORDINGLY

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<sup>\*</sup>TPO - RTP/HTF/OXDL

Please use separate attachments for any answers that don't fit on the form, especially for questions 3-8. If the answer to a question is "NONE", please write "NONE" rather than leaving the answer blank.

1. Title of Invention (please print clearly):

Selective Etching of Organosilicate Films Over Oxide Stop Layers

2. Inventors-Names only-(please print clearly and provide complete information at Section 9.)

**Huong Thanh Nguyen** 

**Michael Scott Barnes** 

Li-Qun Xia

<sup>\*\*</sup>IPS - MXP/RPS/HDP

- 3. Earliest dates and model names of all Applied products incorporating the invention which have been offered for sale or are expected to be offered for sale:
- 4. If the invention has been demonstrated or described to persons other than Applied employees, for each disclosure please provide the earliest date, name of company, a brief description of what was disclosed and the purpose of the disclosure. Attach a copy of any related non-disclosure agreements:

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- 5. If future disclosures like those in Question #4 are expected to occur within the next 12 months, please provide the anticipated date, type of information to be disclosed, and purpose of the disclosure: NONE [ ]
- 6. Describe any other known designs or processes, whether actually implemented or merely proposed in a publication, which could be considered similar to your invention or which constitute the state-of-the-art improved upon by your invention: If described in a publication, attach a copy of same or provide a citation.

- 7. List each feature of the invention which you consider novel and non-obvious. Describe the advantages of each novel feature in comparison with the state-of-the-art approaches which are most similar to your invention:
  - a) The use of a TEOS or silane oxide dielectric stop layer for dual damascene structure with organosilicate film as the main dielectric
  - b) Etching of organosilicate dielectric material with chemistry containing significant levels of hydrogen containing fluorocarbon gases (pure H2 could be added to the chemistry as well)
- 8. Describe the invention, preferably with reference to attached drawings:
  - a) Construct a dual damascene strucuture with an organosilicate dielectric for the main film and a TEOS or silane oxide as a stop layer(s).
  - b) Etch the main dielectric film with chemistry containing significant levels of hydrogen containing fluorocarbon gases (pure H2 could be added to the chemistry as well)
  - c) Etch stop layer with reduced levels of hydrogen containing fluorocarbon gases

ATTACH ADDITIONAL SHEETS TO DESCRIBE INVENTION AS NEEDED

9. Provide the following information for **EACH** inventor:

Inventor #1: Legal Name:	Humas :	Th mala	Non year	_Employee #	Mail Stop	
Work Phone	Stooron	(1000000	Fax	No.:	1 -	H C
Job Title:		3 40 13				
Citizenship:						
Home Address:						
Manager:				Title:		
Div. Manager		( ` 		Title:	0 0	
Product Group:				Dept #:		
Inventor #2:						
Legal Name:	Michael	Scott	Bornes	Employee #	Mail Stop	
Work Phone		<u> </u>	Fax No.: _			
Job Title:						
Citizenship:		<b>P</b>				
Home Address:	400					
Manager:				Title:		
Div. Manager				Title:		
Product Group:			3	Dept #:		
				·		
Legal Name:	Li-Qui	· Xia		_Employee # _	Mail Stop	
Work Phone			_Fax No:			
Job Title:	7					
Citizenship:			<b>b</b>			
Home Address:						
Manager				Title:		
Div. Manager				Title:		
Product Group:				Dept #:		

# FOR ADDITIONAL INVENTORS, PLEASE COMPLETE AND ATTACH ADDITIONAL SHEET AS NEEDED.

### ADDITIONAL INVENTORS:

Inventor # :			\$ e e e	The state of the s
Legal Name:	·		Mail Stop	
Work Phone		Fax No.:		_
Job Title:	Section 1997			25 , <del>-</del>
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Manager:		Title:	the Company of the State of the	10 . g
Div. Manager		Title:		-
Product Group: _	*	Dept #:	:	
Inventor # : Legal Name:		Employee #	Mail Stop	-
Work Phone	Fax N	lo.:	,	_ ,
Job Title:				
Citizenship:		***		_
Home Address				_
Manager:		Title:		<del></del>
Div. Manager		Title:		_
Product Group:		Dept #:	<b>y</b> ,	-
Inventor Legal Name:		Employee #	Mail Stop	-
Work Phone	Fax No:			-
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新疆·森 法 2000 - 公约 ·

10. Signature, date and <u>PRINTED</u> name of each inventor plus two witnesses who have read and understood this Invention Alert form:

Inventors:	W	ASK.
Huone T. Nguyen Printed Name	Date	Signature
Michael Barnes Printed Name	Date MA	Signature
Printed Name	Date	Signature
Witness:		
Printed Name	Date	Signature
Printed Name	Date	Signature

Return to:

Gaile Bailey

Mail Stop:

2061

Fax No.:.:

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**Extension:** 

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